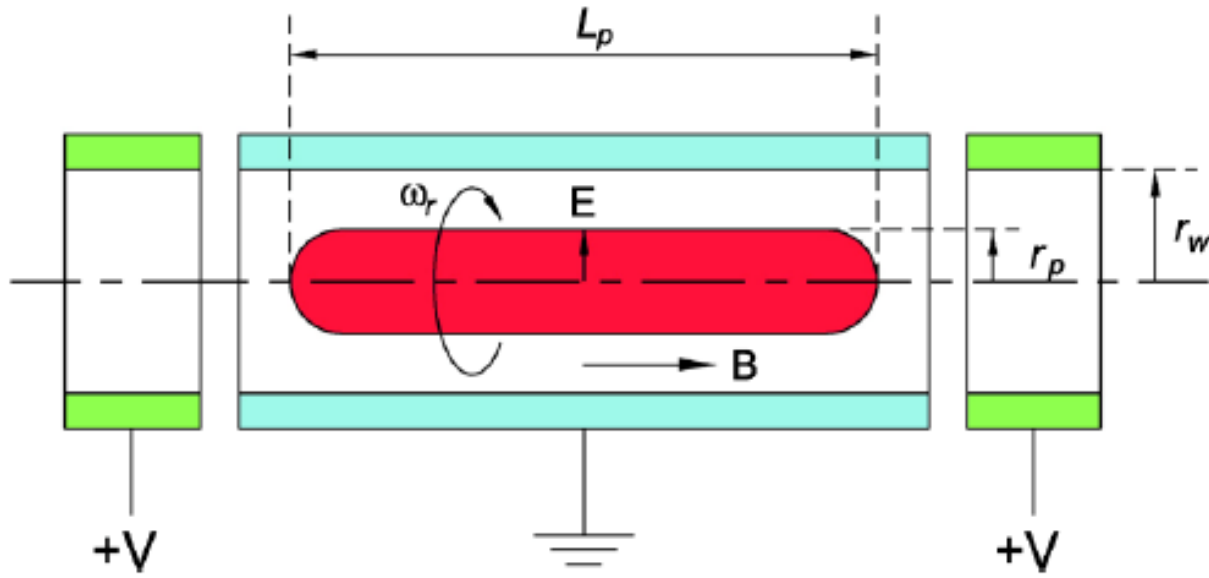


Confinement of non-neutral plasmas

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11 March 2021

PM trap and plasma parameters



electron density
 electron temperature
 thermal velocity
 plasma frequency
 cyclotron frequency
 gyroradius
 bounce frequency

$$\begin{aligned}
 n_e & \\
 T & \\
 v_T & \\
 \omega_{pe}^2 &= n_e e^2 / \epsilon_0 m_e \\
 \omega_{ce} &= eB / m_e \\
 r_c &= v_T / \omega_{ce} \\
 f_b &= v_T / 2L_p
 \end{aligned}$$

[10.1103/RevModPhys.87.247](https://www.10.1103/RevModPhys.87.247)

Plasma regime defined by

$$\begin{cases} \lambda_D < L_p \\ n_e (\lambda_D)^3 > 1 \end{cases}$$

$$\lambda_D = \sqrt{\frac{\epsilon_0 k_B T}{n_e e^2}} \text{ (Debye length)}$$

Cold-fluid equilibrium

- Momentum balance equation for a cold fluid (at equilibrium, neglecting pressure)

$$mn\mathbf{v} \cdot \nabla\mathbf{v} = qn(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad \longrightarrow \quad q(E_r + v_\theta B) + \frac{mv_\theta^2}{r} = 0 \quad \longrightarrow \quad -\omega_{re}^2 = \frac{1}{2}\omega_{pe}^2 - \omega_{re}\omega_{ce}$$

force balance

$$\omega_{pe}^2 = n_e e^2 / \epsilon_0 m_e$$

$$\omega_{ce} = eB/m_e$$

ω_{re} - angular velocity of plasma

$$\omega_{re} = \omega_{re}^\pm \equiv \frac{1}{2}\omega_{ce} \left\{ 1 \pm \left(1 - \frac{2\omega_{pe}^2}{\omega_{ce}^2} \right)^{1/2} \right\}$$

- $E(r)$ from Poisson equation

$$\frac{1}{r} \frac{\partial}{\partial r} [rE(r)] = -\frac{e}{\epsilon_0} n_e(r)$$

(ω_{re}^\pm independent of r)

rigid rotation

Cold-fluid equilibrium

$$\omega_{re} = \omega_{re}^{\pm} \equiv \frac{1}{2}\omega_{ce} \left\{ 1 \pm \left(1 - \frac{2\omega_{pe}^2}{\omega_{ce}^2} \right)^{1/2} \right\}$$

rigid rotation

- For sufficiently large density

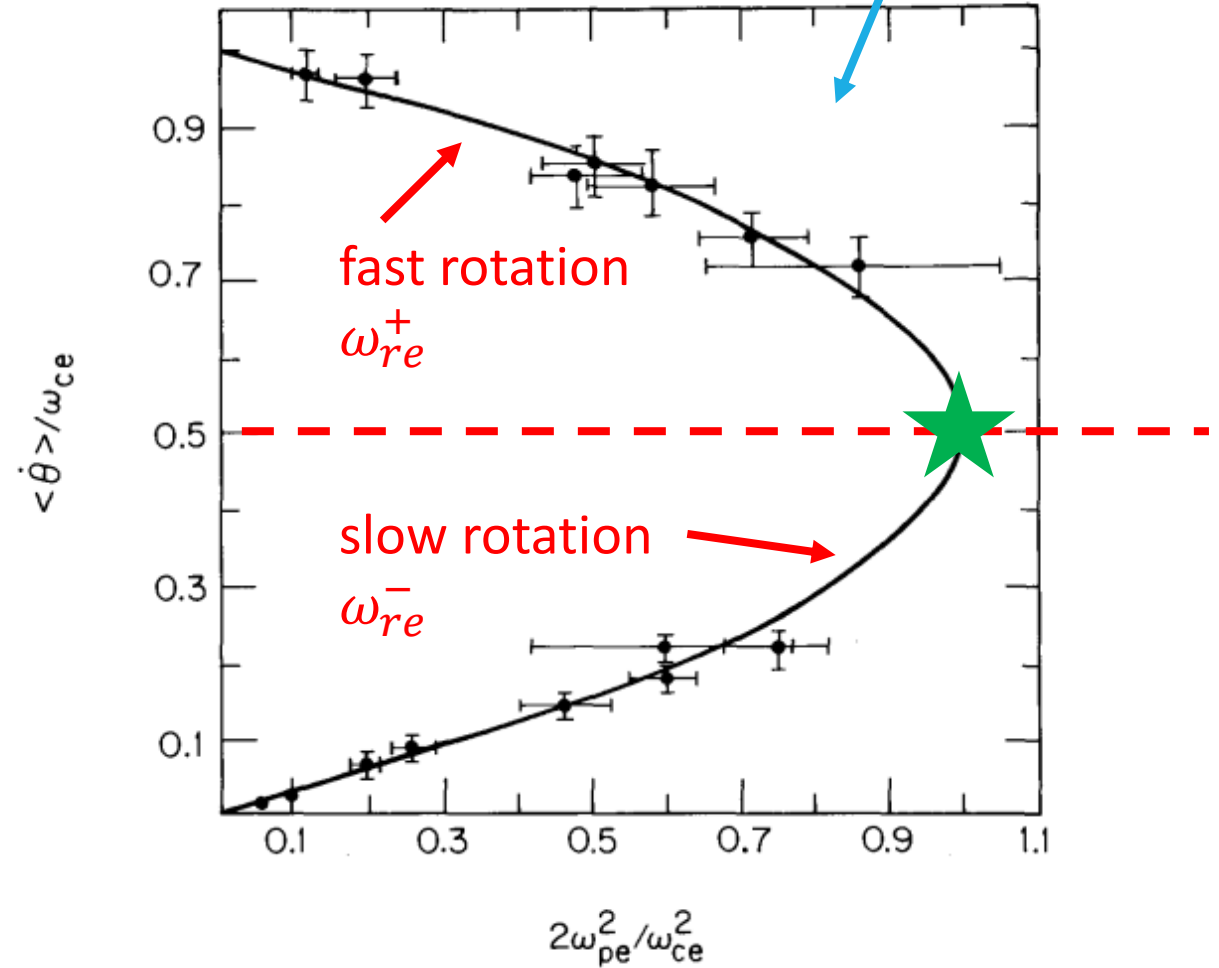
$$\omega_{re}^{\pm} = \frac{1}{2}\omega_{ce}, \text{ for } 2\omega_{pe}^2/\omega_{ce}^2 = 1$$

★ Brillouin density limit

$$n_B = 4.8 \times 10^{18} \text{ m}^{-3} \left(\frac{B}{1 \text{ T}} \right)^2 \text{ (positrons)}$$

space-charge force too large

Physics of Nonneutral Plasmas



<https://doi.org/10.1142/p251>

Cold-fluid equilibrium

$$\omega_{re} = \omega_{re}^{\pm} \equiv \frac{1}{2}\omega_{ce} \left\{ 1 \pm \left(1 - \frac{2\omega_{pe}^2}{\omega_{ce}^2} \right)^{1/2} \right\}$$

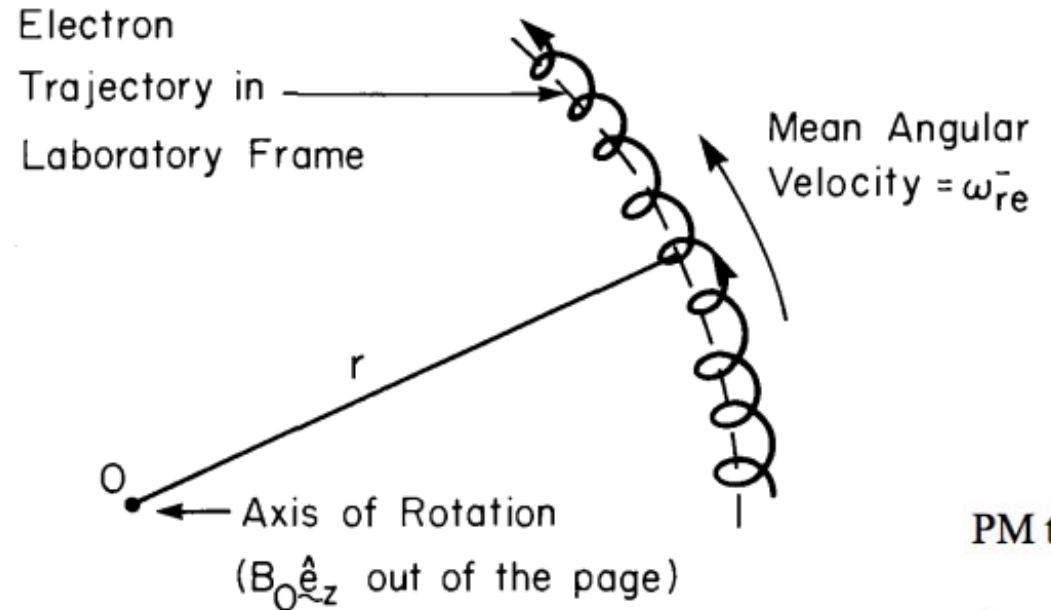
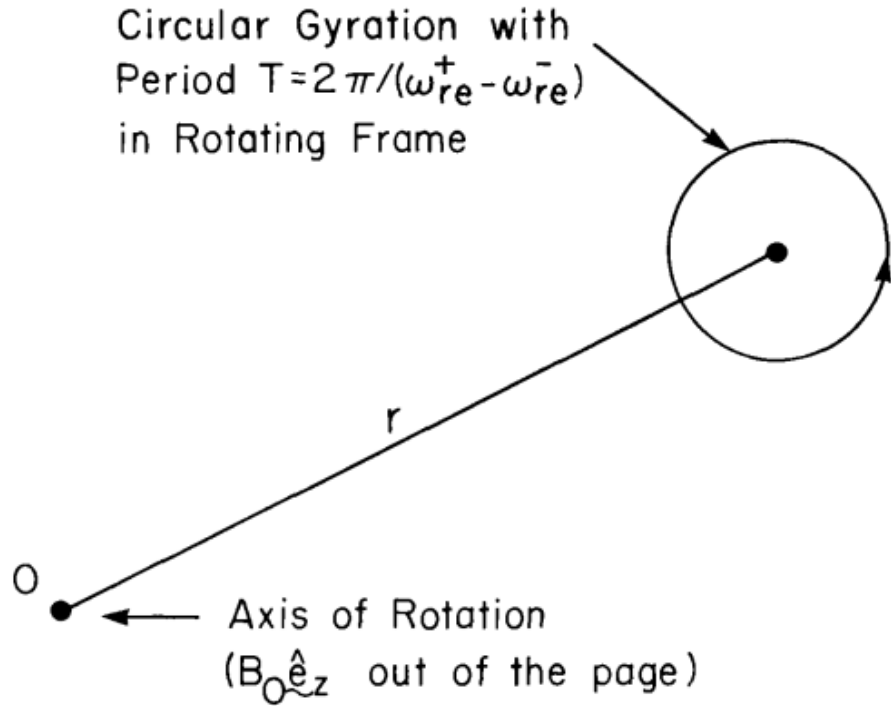
- At low electron density or high magnetic field, ω_{re}^- can be approximated by

$$\omega_{re}^- = \frac{\omega_{pe}^2}{2\omega_{ce}} \quad f_E = \frac{en_e}{4\pi\epsilon_0 B} \quad (\text{slow } E \times B \text{ rotation frequency})$$

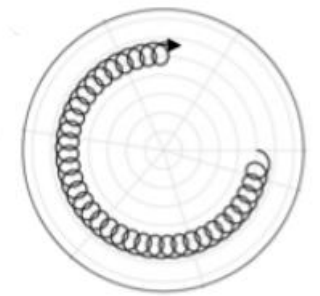
- Guiding centre **drift** due to a general constant force

$$\begin{aligned} \mathbf{v}_d &= \frac{\boldsymbol{\omega}_c \times \mathbf{F}}{m\omega_c^2} \\ &= \frac{1}{q} \frac{\mathbf{F} \times \mathbf{B}}{B^2} \end{aligned}$$

Single-particle trajectories



PM trap end view



Good for $V_E \ll V_T$

- Typically, radius $\rho \ll \lambda_D, r_p$ so the particles are “tied to the field lines”

<https://doi.org/10.1142/p251>

Longitudinal confinement

- Plasma screens out the axial electric field by creating a space-charge potential ϕ_p

$$\phi = \phi_p + \phi_{\text{ext}} \text{ (independent of } z\text{)} \quad E_r = \frac{qnr_p^2}{2\epsilon_0 r}, \quad r > r_p$$

- Assuming that $\phi(r_w) = 0$ and integrating the radial electric field

$$\phi(r) = \frac{qnr_p^2}{4\epsilon_0} \left[1 + 2 \ln\left(\frac{r_w}{r_p}\right) \right] - \frac{qnr^2}{4\epsilon_0}, \quad r < r_p$$

- Potential difference between the wall and $r = 0$ is

$$\Delta\phi = \frac{qnr_p^2}{4\epsilon_0} \left[1 + 2 \ln\left(\frac{r_w}{r_p}\right) \right]$$

$$\Delta\phi = 2.2 \times 10^{10} \text{ V} \left(\frac{B}{1 \text{ T}} \frac{r_p}{1 \text{ m}} \right)^2$$

min required trap voltage



(at the Brillouin density limit)

Finite temperature and thermal equilibria

$$mn\mathbf{v} \cdot \nabla\mathbf{v} = qn(\mathbf{E} + \mathbf{v} \times \mathbf{B}) - \nabla p$$

$$p = nk_B T$$

$$n(r, z) = C e^{-q\phi_{\text{eff}}(r, z)/k_B T}$$

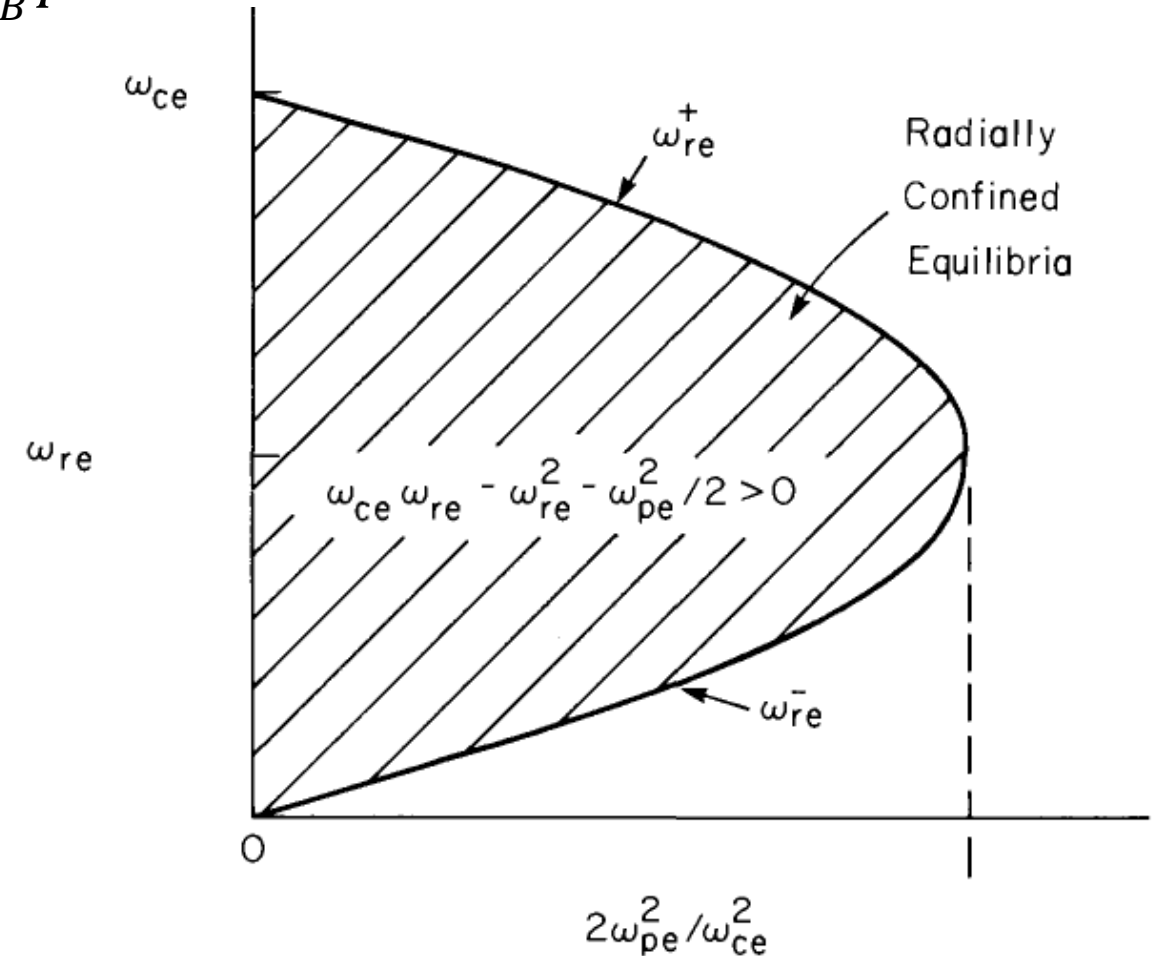
$$q\phi_{\text{eff}}(r, z) = \frac{1}{2}m\omega_r(\Omega_c - \omega_r)r^2 + q\phi(r, z)$$

$$\frac{\partial^2 \phi}{\partial r^2} + \frac{1}{r} \frac{\partial \phi}{\partial r} + \frac{\partial^2 \phi}{\partial z^2} = -\frac{n(r, z)}{\epsilon_0}$$

Poisson-Boltzmann system

Uniform temperature T

Viscosity acts to remove shears in ω_r



Finite temperature and thermal equilibria

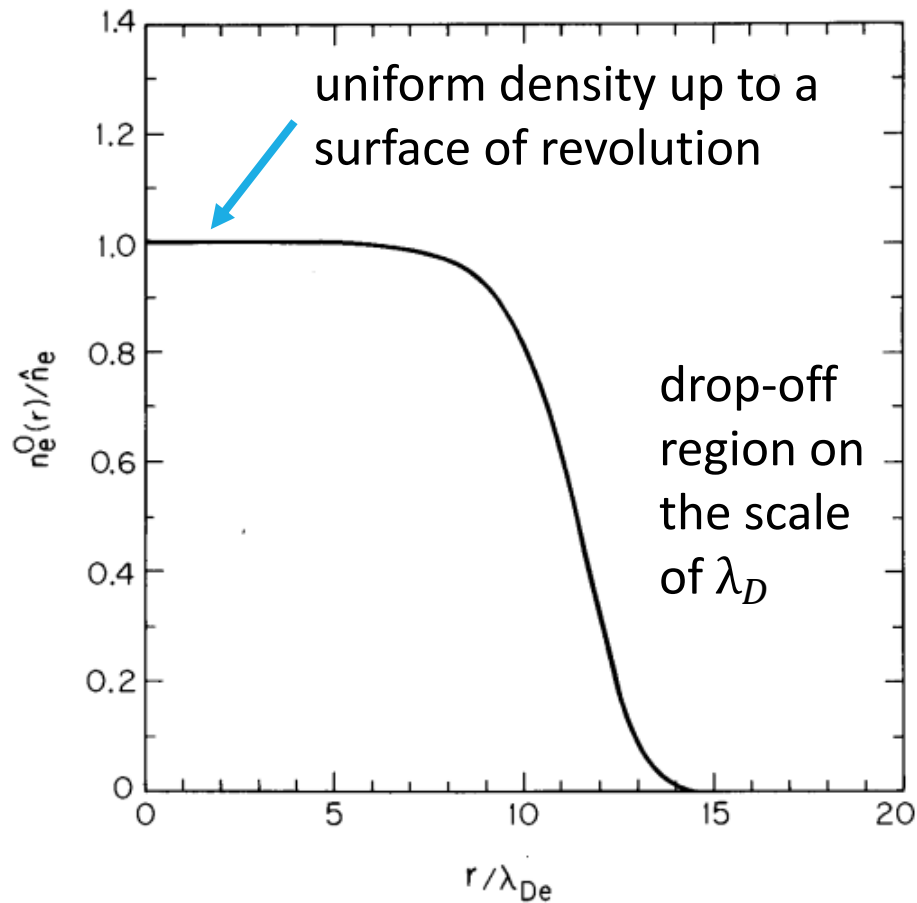
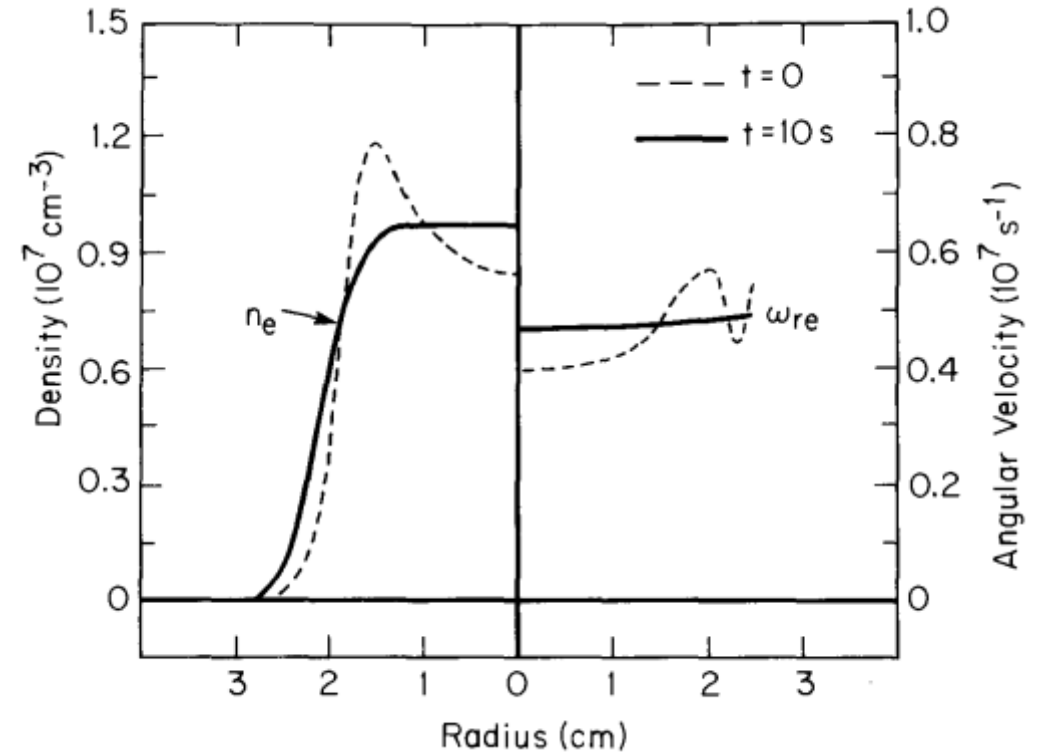


Figure 3.10. Plot of the thermal equilibrium density profile $n_e^0(r)$ versus r/λ_{De} calculated numerically from Eqs.(3.29) and (3.30) for $2\omega_{pe}^2/\omega_{ce}^2 = 0.5361$ and $\omega_{re}/\omega_{re}^- - 1 = 0.938 \times 10^{-4}$.

<https://doi.org/10.1142/p251>

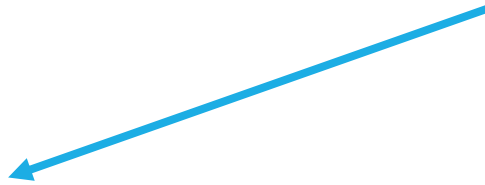


- Non-neutral plasmas can relax to global thermal equilibrium (through binary collisions) and still remain confined.
- Relaxation occurs up to 5000 times faster than predicted by theory (doi: 10.1103/PhysRevLett.60.1290)

Constants of the motion

- Canonical angular momentum for a particle

$$p_{\theta} = mv_{\theta}r + \frac{qB}{2}r^2$$



Constant if

- For a sufficiently large magnetic field

$$P_{\theta} \cong \frac{qB}{2} \sum_j r_j^2$$

- external field and potential are cylindrically symmetric
- absence of other force with θ component (e.g., collisional drag on neutral gas)

Cross-field transport

- In practice, plasmas expand slowly due to imperfections in the trap

$$\tau = \frac{dP_\theta}{dt} = P_\theta \Gamma_\theta \quad \Gamma_\theta = \frac{1}{n} \frac{dn}{dt} \quad (\text{outward transport rate})$$

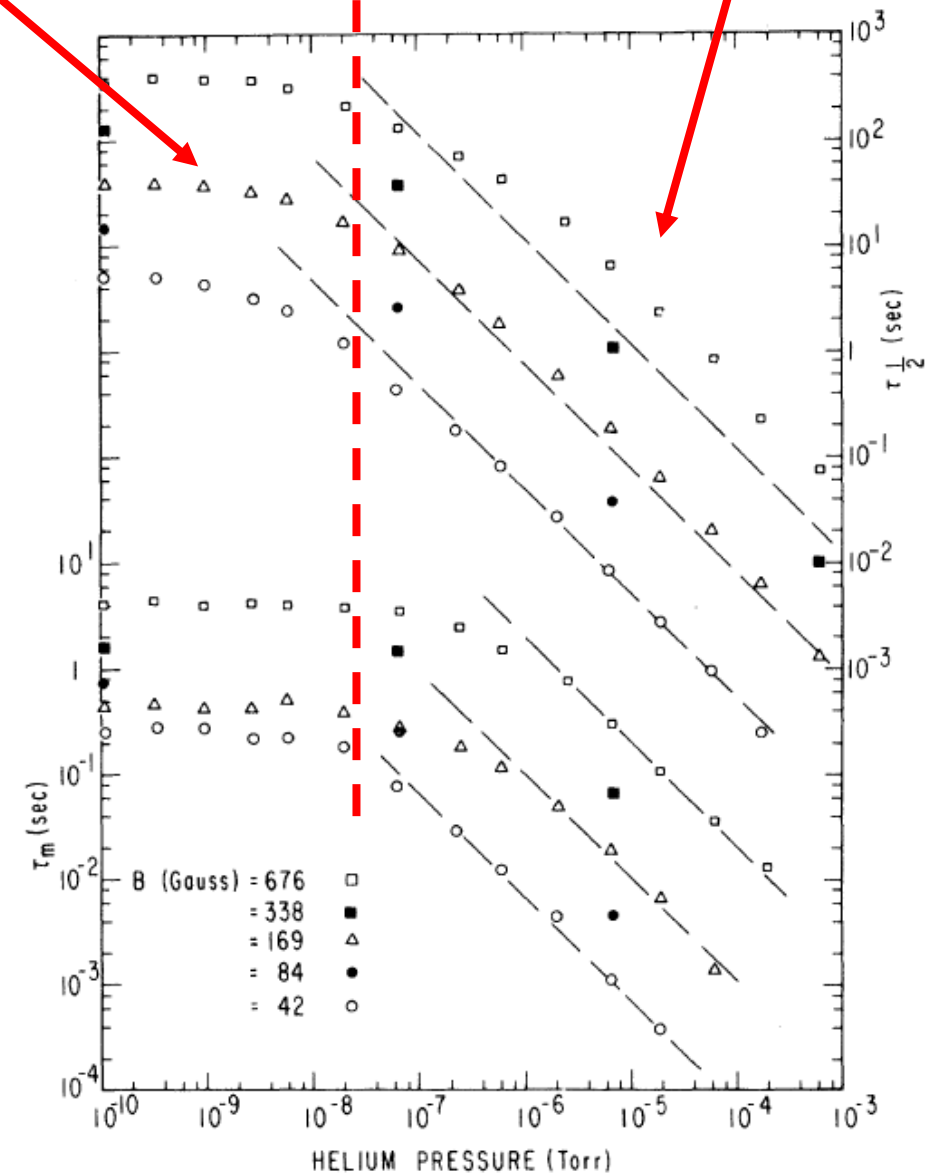
- Neutral collisions

$$\Gamma_0 = v_p \left(\frac{r_c}{\lambda_D} \right)^2$$

v_p - electron-neutral collision freq.

trap imperfections

gas collisions



Cross-field transport

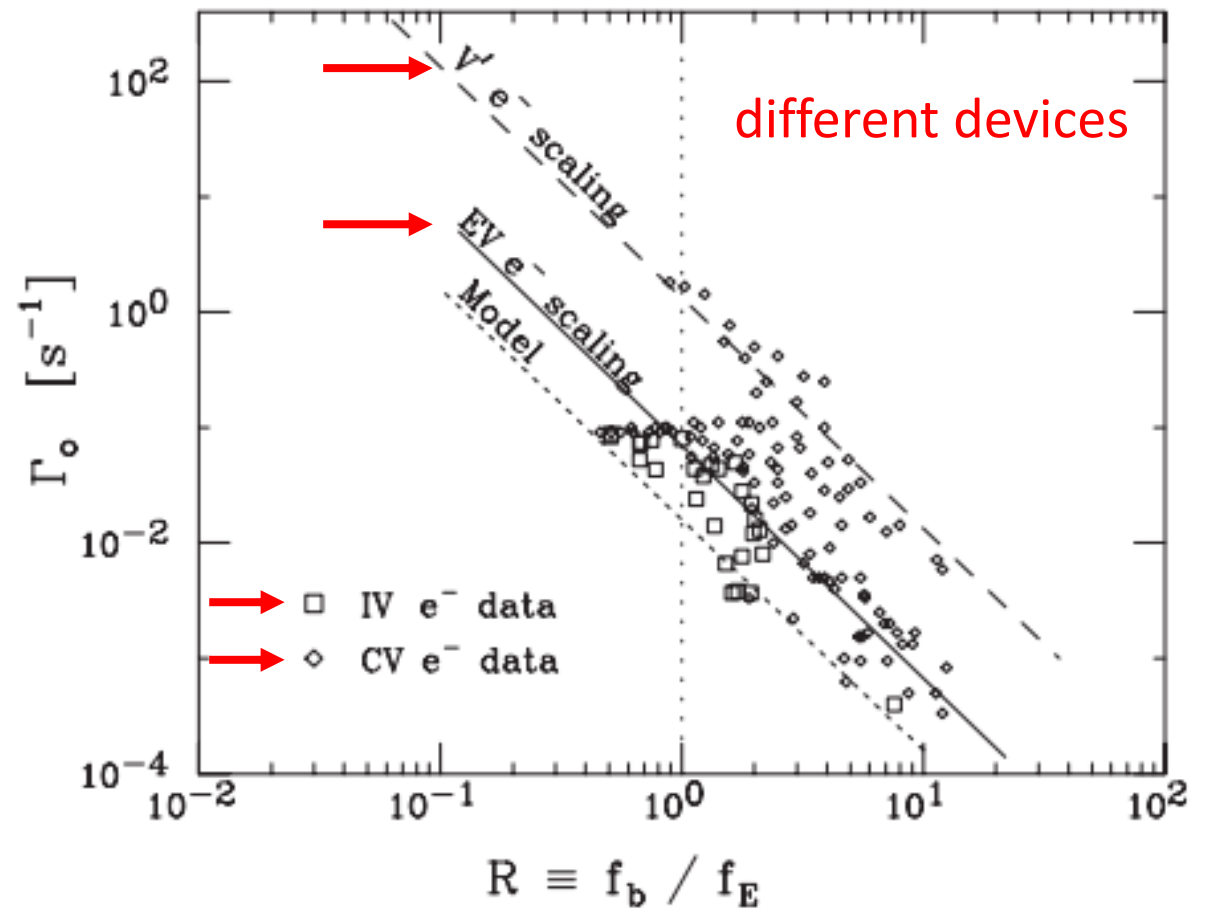
(Plasmas at low neutral pressure)

- Expansion rate

$$\Gamma_0 = \Lambda R^2$$



device dependent constant



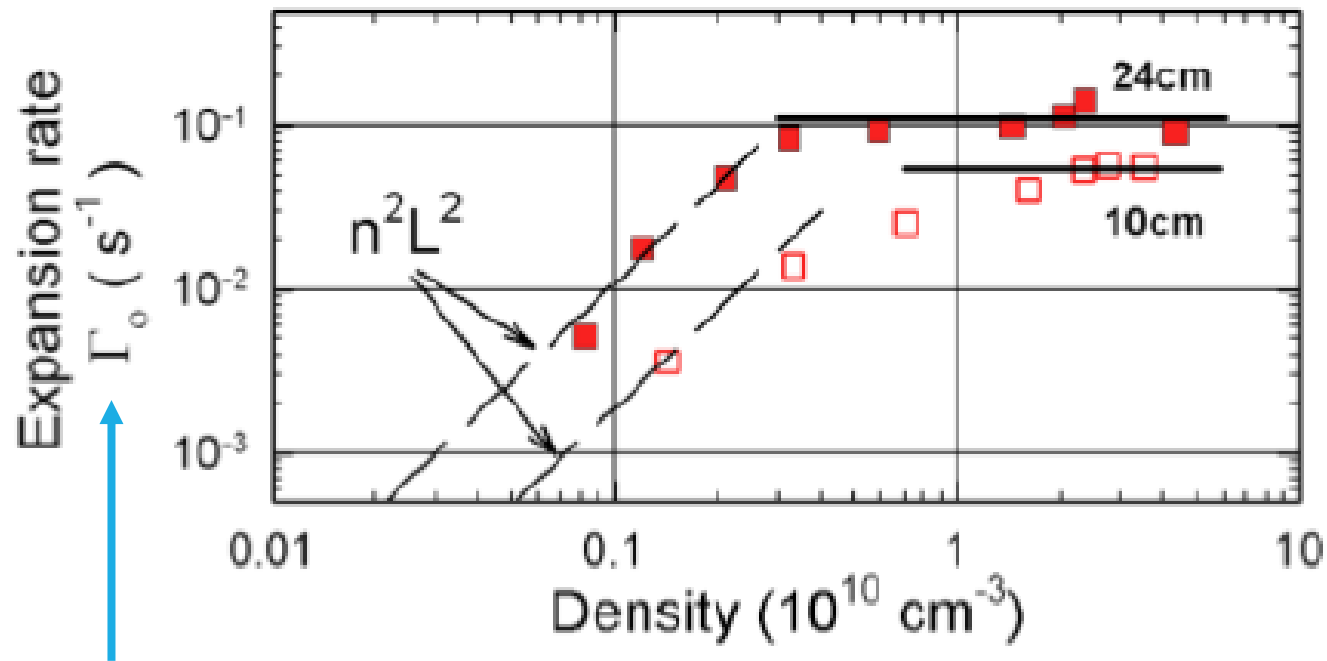
plasma “rigidity”

$$R = \frac{f_b}{f_E} = 1.5 \left(\frac{B}{1 \text{ T}} \right) \left(\frac{n}{10^{10} \text{ cm}^{-3}} \right)^{-1} \left(\frac{L_p}{1 \text{ cm}} \right)^{-1} \left(\frac{T_p}{1 \text{ eV}} \right)^{1/2}$$

Cross-field transport

- Transport due to electric and magnetic asymmetries

UHV PM trap (5-tesla field)



(among the smallest values reported)

doi: [10.1063/1.2179410](https://doi.org/10.1063/1.2179410)

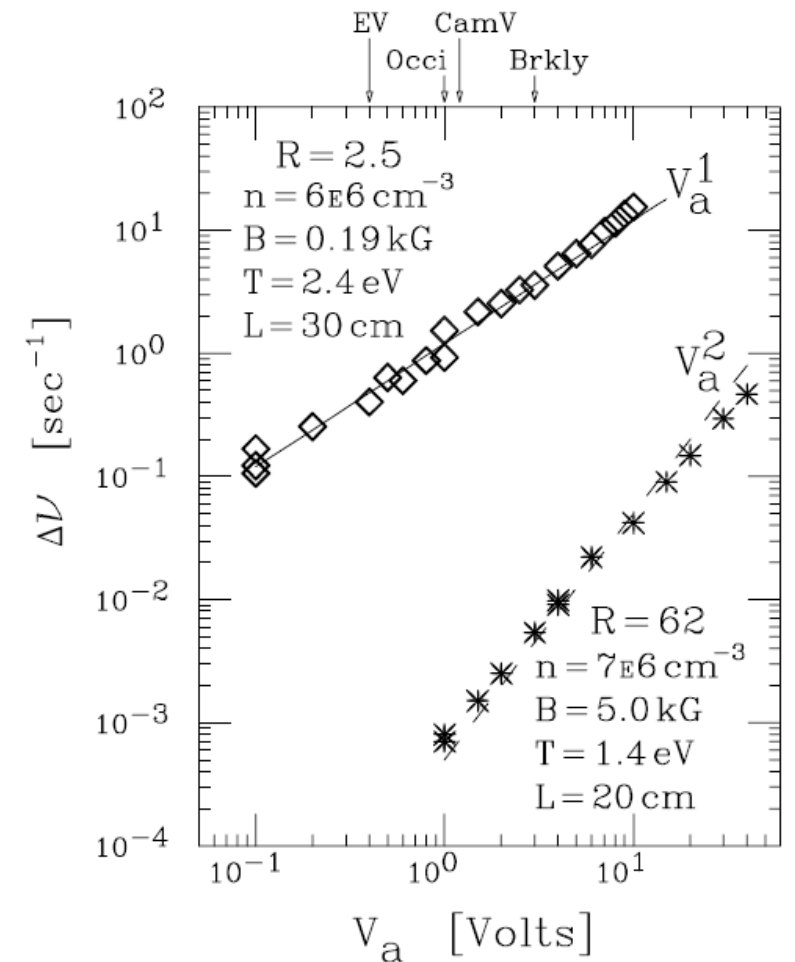


FIG. 3. Net expansion rate $\Delta \nu$ vs asymmetry strength V_a for a low rigidity plasma ($R = 2.5$) and a high rigidity plasma ($R = 62$).

doi: [10.1103/PhysRevLett.85.2510](https://doi.org/10.1103/PhysRevLett.85.2510)

Plasma heating and cooling

Heating sources:

- RF noise on the confining electrodes
- Outward plasma expansion itself

$$\Gamma_h = \frac{1}{T} \frac{dT}{dt} = \left(\frac{e\phi_0}{2\eta T} \right) \Gamma_0$$

ϕ_0 - potential at $r = r_w$

$1/\eta$ - fraction of space-charge

potential that is dropped

across the plasma

[https://doi.org/10.1016/S0969-806X\(03\)00194-4](https://doi.org/10.1016/S0969-806X(03)00194-4)

https://doi.org/10.1142/9781783264063_0004

Cooling

- cyclotron cooling
(requires $2r_w > \lambda_c$)

$$\Gamma_c = \frac{1}{4} \left(\frac{B}{1 T} \right)^2 (s^{-1})$$

- collisional cooling on atomic or molecular gases

$$\Gamma_c = \frac{1}{T} \frac{dT}{dt} \approx - \frac{\nu_j \varepsilon_j}{T}$$

ν_j - excitation rate for a vibrational mode j

ε_j - transition energy for mode j

- If neutral collisions dominate both the transport and the cooling:

$$\beta = \frac{\Gamma_h}{\Gamma_c} = \frac{\nu_p T}{4 \nu_j \varepsilon_j} \left(\frac{\omega_p}{\omega_c} \right)^2 \left(\frac{r_p}{\lambda_D} \right)^2$$

$$\left(\frac{n}{n_B} \right)^2 = \frac{8 \nu_j \varepsilon_j}{\nu_p T} \left(\frac{r_c}{r_p} \right)^2$$

Rotating wall technique

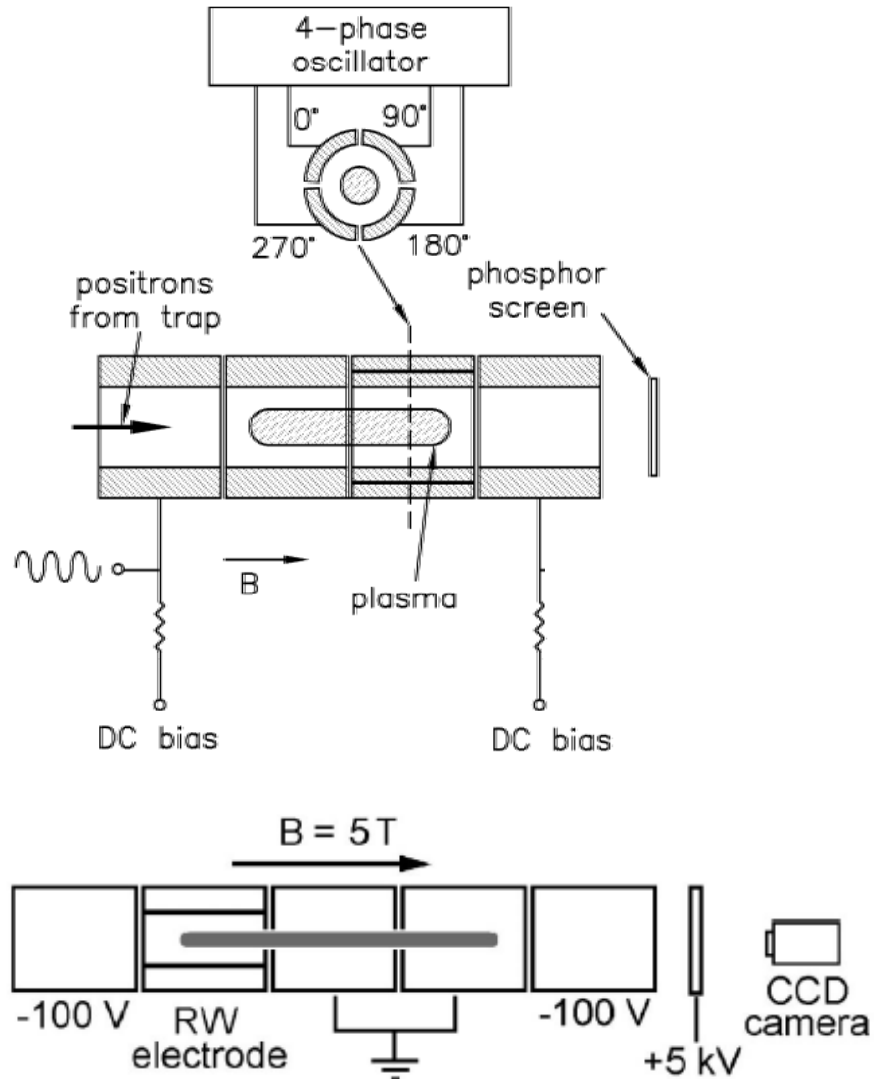
Rotating electric field injects angular momentum

- Good compression for the axial extent of the RW electrode less than half L_p
- Usually RW electrode located at one end
- Radial electric field rotating in the same direction as the plasma

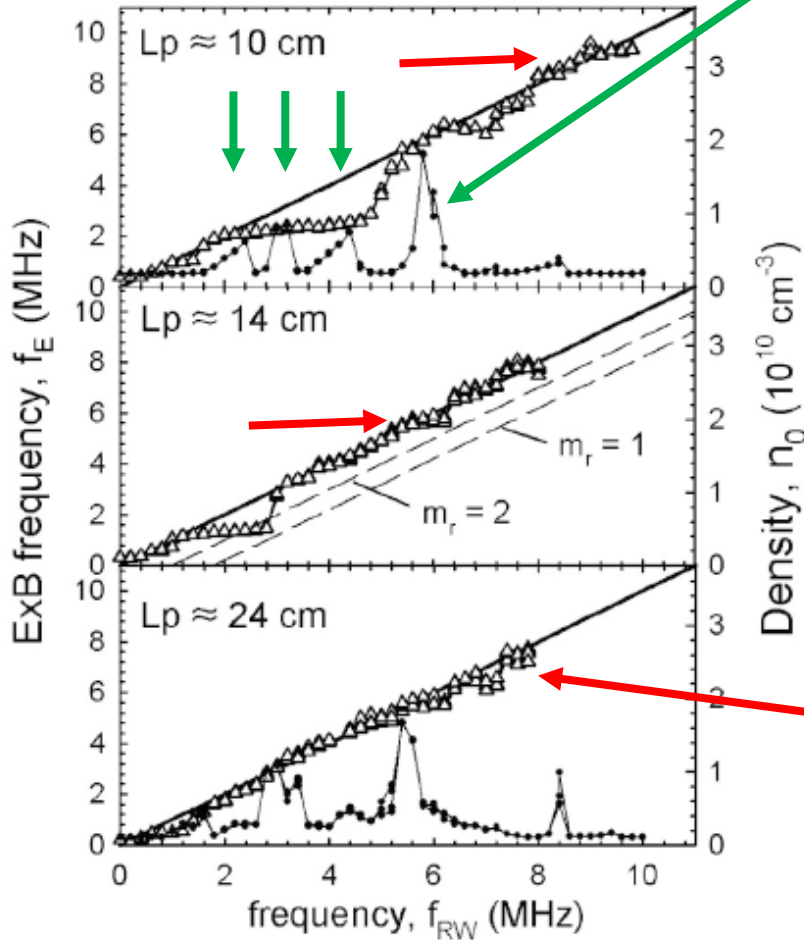
$$P_\theta \cong -\frac{eB}{2} \sum_j r_j^2$$

$f_{RW} > f_E$	compression
$f_{RW} < f_E$	expansion

- **Cooling** is required to counteract the heating caused by the torque-produced work on the plasma

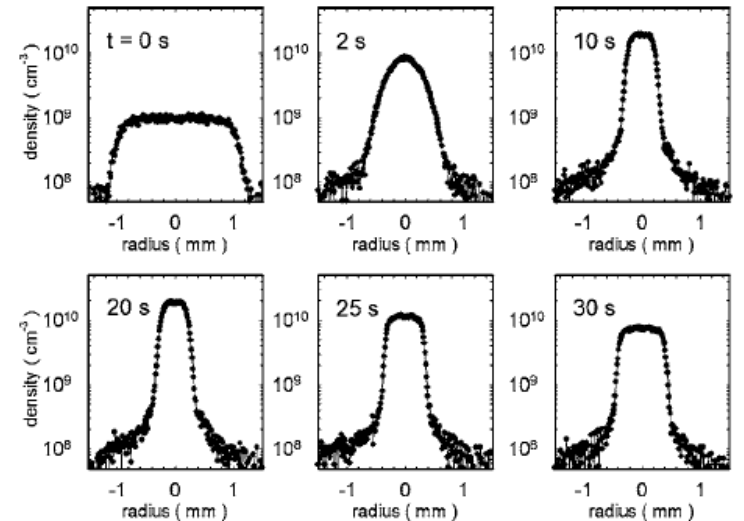
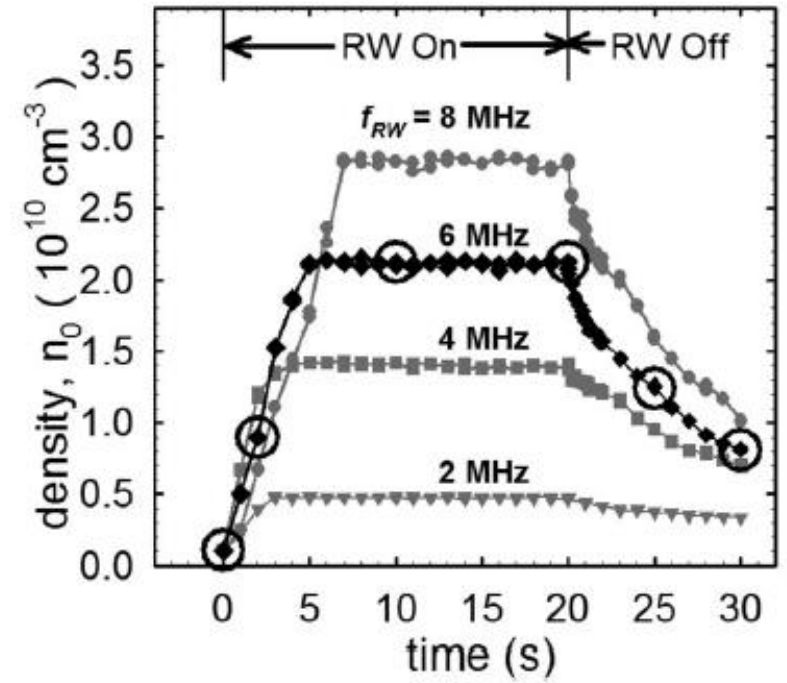


Rotating wall technique



Early RW experiments:
coupling to Trivelpiece-Gould modes
($V_{RW} = 0.1 \text{ V}$)

“strong-drive” regime
($V_{RW} = 1.0 \text{ V}$)

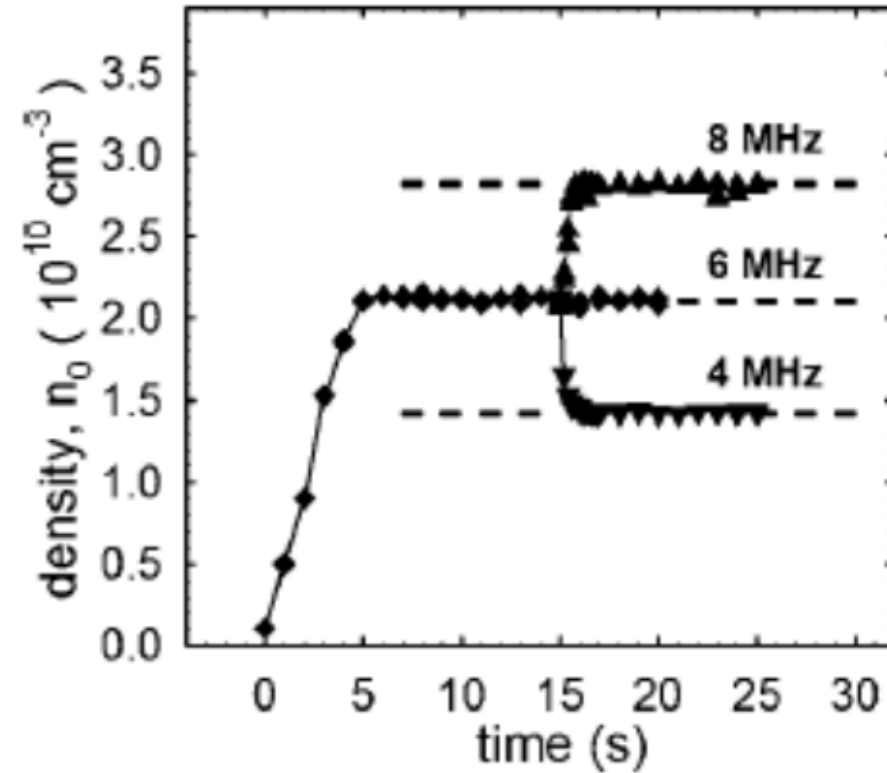


doi: 10.1063/1.2179410

doi: 10.1103/PhysRevLett.94.035001

Rotating wall technique

“One-knob density control”



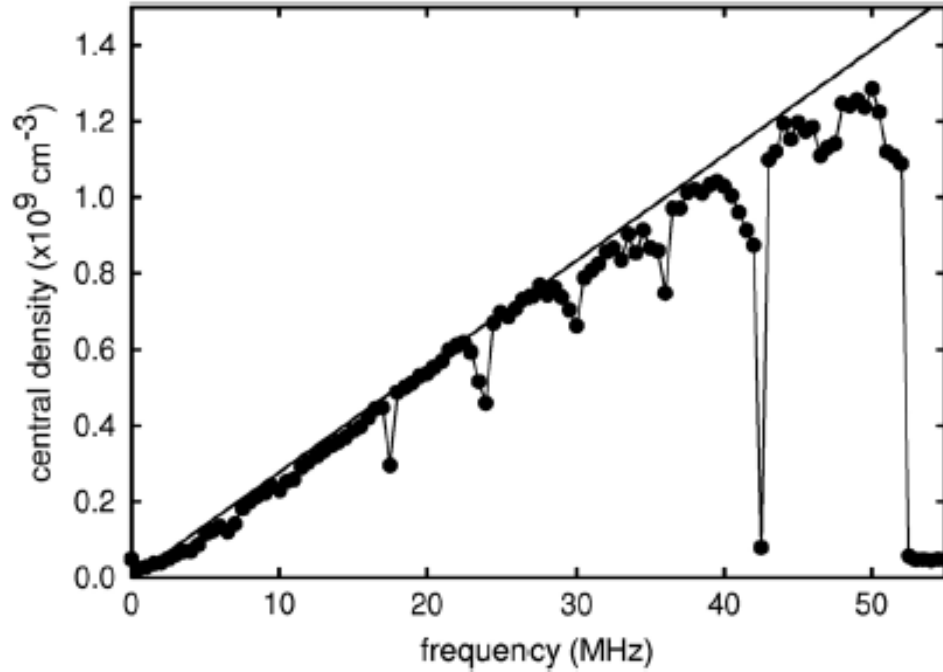
[doi: 10.1063/1.2179410]

Approaching the Brillouin limit

Record so far
(R.G. Greaves, unpublished):

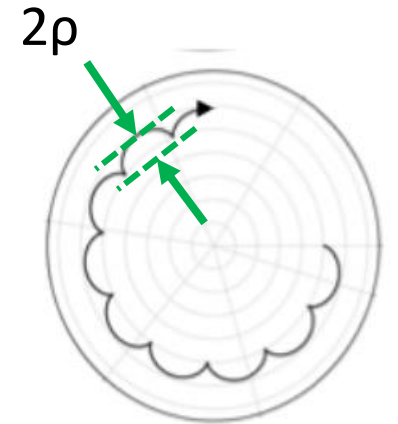
$$1.2 \times 10^{15} \text{ m}^{-3} \text{ (17\% of } n_B)$$

$$B \sim 0.04 \text{ T} \quad f_{RW} \sim 50 \text{ MHz}$$



High density => large space-charge, large non-circular orbits
Higher density limited by molecular collisions

nearly
unconfined
 $E \times B$ orbits



[doi: 10.1103/RevModPhys.87.247]

[doi: 10.1063/1.3354005]

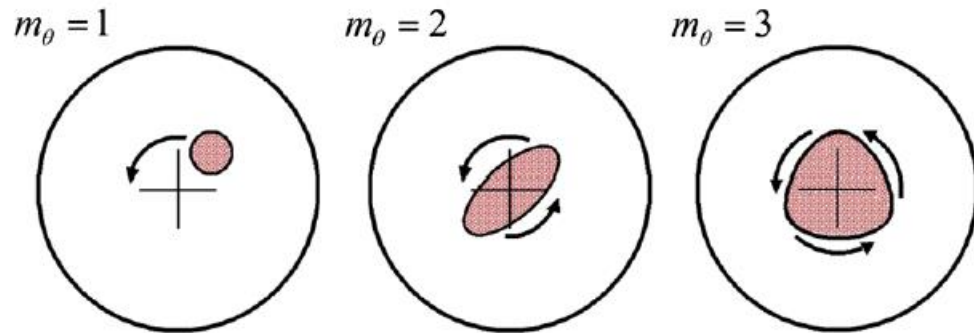
[doi: [10.1142/9781783264063_0005](https://doi.org/10.1142/9781783264063_0005)]

$$\rho = \sqrt{r_c^2 + r_E^2}$$

$$\rho \approx r_E = E / \omega_c B \gg r_c$$

Non-destructive diagnostic techniques

Diocotron modes ($k_z = 0$ plasma oscillations)

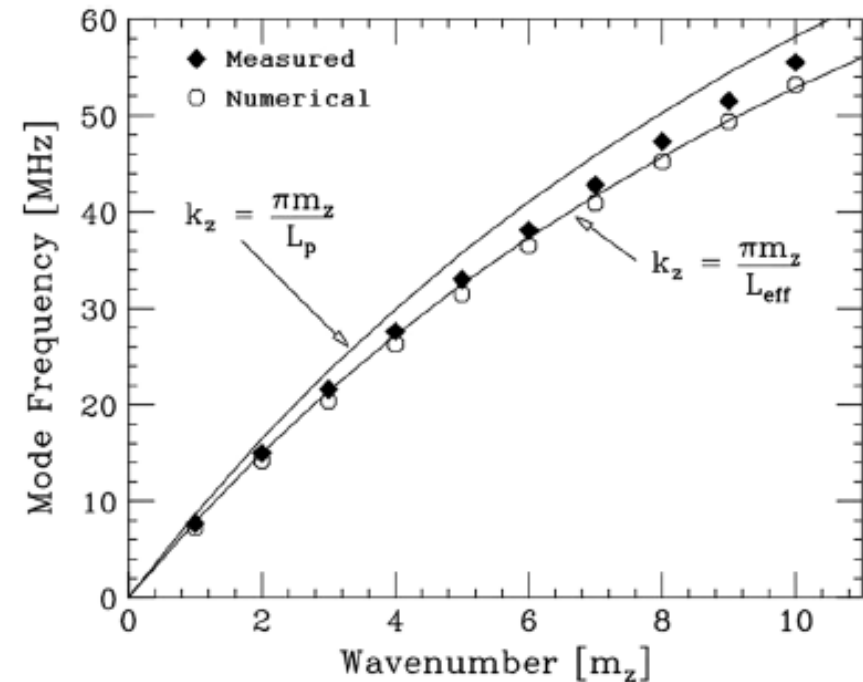


$$\omega_1 = -\frac{N}{L_p} \frac{qc}{2\pi\epsilon_0 B r_w^2} \longrightarrow N$$

$$\omega_2 \cong -\omega_r \longrightarrow n$$

Trivelpiece-Gould modes (finite k_z plasma oscillations)

$$\omega_{TG} \approx \frac{k_z}{k_\perp} \omega_p \left[1 + \frac{3}{2} \lambda_D^2 k_\perp^2 \right] \longrightarrow T$$



[doi: 10.1103/RevModPhys.87.247]

Summary

- Plasma can reach **steady state** – rigid rotor
- Strongly magnetized plasma => particles **“tied” to the field lines**
- **Diagnostics**: oscillation modes to measure N, n, T and aspect ratio
- Outward **transport** (trap imperfections, gas collisions)
- **“Anomalous transport”** in UHV
- Expansion => **Heating**
- **Compression** possible with **RW**
 - Minimise transport
 - Maximize cooling
- **Density limits yet to be determined**